

<i>SERFF Tracking Number:</i>	<i>SALA-127308379</i>	<i>State:</i>	<i>Arkansas</i>
<i>Filing Company:</i>	<i>SunAmerica Annuity and Life Assurance Company</i>	<i>State Tracking Number:</i>	<i>49231</i>
<i>Company Tracking Number:</i>	<i>AS-992C-P5 (6/11)</i>		
<i>TOI:</i>	<i>A02.1G Group Annuities - Deferred Non-Variable and Variable</i>	<i>Sub-TOI:</i>	<i>A02.1G.002 Flexible Premium</i>
<i>Product Name:</i>	<i>5 Yr Product</i>		
<i>Project Name/Number:</i>	<i>/</i>		

Filing at a Glance

Company: SunAmerica Annuity and Life Assurance Company

Product Name: 5 Yr Product	SERFF Tr Num: SALA-127308379	State: Arkansas
TOI: A02.1G Group Annuities - Deferred Non-Variable and Variable	SERFF Status: Closed-Approved-Closed	State Tr Num: 49231
Sub-TOI: A02.1G.002 Flexible Premium	Co Tr Num: AS-992C-P5 (6/11)	State Status: Approved-Closed
Filing Type: Form	Authors: Tina Smith, Aly Lopez	Reviewer(s): Linda Bird
	Date Submitted: 07/06/2011	Disposition Date: 07/11/2011
		Disposition Status: Approved-Closed
Implementation Date Requested:		Implementation Date:

State Filing Description:

General Information

Project Name:	Status of Filing in Domicile: Authorized
Project Number:	Date Approved in Domicile:
Requested Filing Mode: Review & Approval	Domicile Status Comments: Domiciliary state, Arizona, exempts this filing per ARS 20-1110.F and R20-6-218.
Explanation for Combination/Other:	Market Type: Group
Submission Type: New Submission	Group Market Size: Small and Large
Group Market Type: Discretionary	Overall Rate Impact:
Filing Status Changed: 07/11/2011	
State Status Changed: 07/11/2011	Deemer Date:
Created By: Aly Lopez	Submitted By: Aly Lopez
Corresponding Filing Tracking Number:	
Filing Description:	

We are submitting the above-mentioned out-of-state group annuity data page for your review and approval. We are respectfully requesting an expedited review. This is a new form and is not intended to replace any forms previously approved by your Department. The out-of-state group master certificate will not be issued in our domiciliary state of Arizona; therefore, our domiciliary state's approval is not required.

<i>SERFF Tracking Number:</i>	<i>SALA-127308379</i>	<i>State:</i>	<i>Arkansas</i>
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	<i>Company</i>		
<i>Company Tracking Number:</i>	<i>AS-992C-P5 (6/11)</i>		
<i>TOI:</i>	<i>A02.1G Group Annuities - Deferred Non-</i>	<i>Sub-TOI:</i>	<i>A02.1G.002 Flexible Premium</i>
	<i>Variable and Variable</i>		
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The above referenced Certificate Data Page will be used according to the product purchased. This form is submitted as similar to AS-992C-PPS4 (12/10) approved on 2/24/2011 under filing #48020. Although, this new form is similar to the previously approved version, the key differences are changes to the separate account charge, number of years and withdrawal charge as follows:

AS-992C-P5 (12/10) – separate account 1.55% in years 1-5 and 1.30% in years 6+, 5 Year withdrawal charge

To the best of our knowledge and belief, this submission is in compliance with the statutes and regulations of your state and contains nothing that has been previously objected to or disapproved by your Department. No part of this filing contains any unusual or possibly controversial items from normal company or industry standards.

Thank you for your attention to this filing. If you have any questions regarding this filing, please call me at the following toll free telephone number (800) 871-2000 x6195 or fax (310) 772-6569 or email alopez@sunamerica.com.

Company and Contact

Filing Contact Information

Aly Lopez, Contract Analyst II	alopez@sunamerica.com
1999 Ave of the Stars	800-871-2000 [Phone] 6195 [Ext]
37th Floor	310-772-6569 [FAX]
Los Angeles, CA 90701	

Filing Company Information

SunAmerica Annuity and Life Assurance	CoCode: 60941	State of Domicile: Arizona
Company		
1999 Ave of the Stars	Group Code:	Company Type: Annuity
37th Floor	Group Name: AIG	State ID Number:
Los Angeles, CA 90067	FEIN Number: 86-0198983	
(800) 871-2000 ext. [Phone]		

Filing Fees

Fee Required?	Yes
Fee Amount:	\$50.00
Retaliatory?	No

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	<i>Company</i>		
<i>Company Tracking Number:</i>	<i>AS-992C-P5 (6/11)</i>		
<i>TOI:</i>	<i>A02.1G Group Annuities - Deferred Non-</i>	<i>Sub-TOI:</i>	<i>A02.1G.002 Flexible Premium</i>
	<i>Variable and Variable</i>		
<i>Product Name:</i>	<i>5 Yr Product</i>		
<i>Project Name/Number:</i>	<i>/</i>		
Fee Explanation:	\$50 per form		
Per Company:	No		

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
SunAmerica Annuity and Life Assurance Company	\$50.00	07/06/2011	49469819

SERFF Tracking Number:	SALA-127308379	State:	Arkansas
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Company Tracking Number:	AS-992C-P5 (6/11)		
TOI:	A02.1G Group Annuities - Deferred Non-Variable and Variable	Sub-TOI:	A02.1G.002 Flexible Premium
Product Name:	5 Yr Product		
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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved-Closed	Linda Bird	07/11/2011	07/11/2011

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Disposition

Disposition Date: 07/11/2011

Implementation Date:

Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

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Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification		Yes
Supporting Document	Application		No
Supporting Document	Life & Annuity - Acturial Memo		No
Form	Certificate Data Page		Yes

SERFF Tracking Number: SALA-127308379 State: Arkansas

Filing Company: SunAmerica Annuity and Life Assurance State Tracking Number: 49231

Company Tracking Number: AS-992C-P5 (6/11)

TOI: A02.1G Group Annuities - Deferred Non- Sub-TOI: A02.1G.002 Flexible Premium

Variable and Variable

Product Name: 5 Yr Product

Project Name/Number: /

Form Schedule

Lead Form Number:

Schedule Item Status	Form Number	Form Type	Form Name	Action	Action Specific Data	Readability	Attachment
	AS-992C-P5 (6/11)	Data/Declar	Certificate Data PageInitial ation Pages			0.000	AS-992C-P5 (6-11) CLN.pdf

CERTIFICATE DATA PAGE

Certificate Number: [P9999999999]

Certificate Date: [May 2, 2011]

Participant : [JOHN DOE] **[Date of Birth:** [March 1, 1976] **Age at Issue:** [35]]

[Participant : [JANE DOE] **Date of Birth:** [June 10, 1976] **Age at Issue:** [34]]

Annuitant: [JOHN DOE] **Date of Birth:** [March 1, 1976] **Age at Issue:** [35]

[Annuitant: [JANE DOE] **Date of Birth:** [June 10, 1976] **Age at Issue:** [34]]

Beneficiary: As named by You

Initial Purchase Payment: [\$10,000.00]

Purchase Payment Age Limit: [Prior to the 86th birthday]

Minimum Subsequent Purchase Payment: [\$500]

Fixed Account Options – Minimum Guarantee Rate: [1.0% - 3.0%]

Minimum Partial Withdrawal Amount: [\$1,000]

Maximum Penalty-Free Withdrawal Percentage: [10%]

Minimum Systematic Withdrawal Amount: [\$100]

Minimum Amount Remaining After a Partial Withdrawal: [\$2,500]

Withdrawal Charge Schedule:

Number of Full Years Elapsed Between Purchase Payment Contribution And Date of Withdrawal	Withdrawal Charge as a Percentage of Withdrawn Purchase Payment
0	8%
1	7%
2	6%
3	5%
4	4%
5+	0%

See Page 11 for Withdrawal Provisions.

CERTIFICATE DATA PAGE (Cont'd.)

Minimum Transfer Amount: [\$100]

Separate Account Charge (including guaranteed death benefit risk charge of [0.10%]):

Contract Years 1-5: [1.55%]
Contract Years 6 & Thereafter: [1.30%]

Annual Certificate Maintenance Fee: [\$50]

Transfer Fee: [\$25]

Earliest Annuity Date After Certificate Date: [Two years]

Latest Annuity Date: [1st day of the month following Age 95]

Separate Account: [Variable Separate Account]

Optional Elections:
SunAmerica Income Plus

Optional Election Details:
See Attached Endorsement ASE-6248

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Supporting Document Schedules

	Item Status:	Status Date:
Satisfied - Item: Flesch Certification Comments: Attachments: AR Cert Reg 6.pdf AR Cert Reg 19.pdf		
Bypassed - Item: Application Bypass Reason: The application to be used with this product is application form ASA-579E (11/10) approved on 2/14/11 under 47877, and application ASA-579 (10/09) approved on 11/17/09 under 44068. Comments:		
Satisfied - Item: Life & Annuity - Acturial Memo Comments: Attachment: AS-992C-P5 (6-11).pdf		

SUNAMERICA ANNUITY AND LIFE ASSURANCE COMPANY
1 SUNAMERICA CENTER
LOS ANGELES, CALIFORNIA 90067-6022


Certification of Compliance

SUNAMERICA ANNUITY AND LIFE ASSURANCE COMPANY does hereby certify that the following listed form(s):

Certificate Data Page: AS-992C-P5 (6/11)

Do comply with all Sections of the **Arkansas** Insurance Code and regulations applicable to such insurance policies and related forms, and will be so construed. We also certify that we are in compliance with Rule and Regulation 6 regarding Variable Annuity Contracts.

6/23/11
Date



Manda Ghafar
Assistant Vice President

**SUNAMERICA ANNUITY AND LIFE ASSURANCE COMPANY
1 SUNAMERICA CENTER
LOS ANGELES, CALIFORNIA 90067-6022**

Certification of Compliance

SUNAMERICA ANNUITY AND LIFE ASSURANCE COMPANY does hereby certify that the following listed form(s):

AS-992C-P5 (6/11)

Do comply with all Sections of the **Arkansas** Insurance Code and regulations applicable to such insurance policies and related forms, and will be so construed. We also certify that we are in compliance with Rule and Regulation 19 (2nd Revision) regarding Unfair Sex Discrimination in the Sale of Insurance.

6/23/11
Date

M. Ghaferi
Manda Ghaferi
Assistant Vice President